

ADVERSE LICENSE ACTIONS AND UPDATES

January, 2002

Child Care

Name	Owner	Director	Action Taken	Rationale for Action	Next Step
Wendy Phipps Cece's CCC Ogden	Wendy Phipps	Wendy Phipps	8/01/01 - Conditional License w/Civil Money Penalties Negotiated CMP to \$100. 1/8/02 - Standard license issued.	Three repeat violations, one Class I violation & seven new deficiencies. Expense receipts provided to indicate efforts to be in compliance. Provider successfully completed terms of conditional license.	Ongoing monitoring. Provider has requested informal conference on CMP 9/28/01 - Bureau Conference 10/22/01 - Successful monitoring - provider in compliance. 11/16/01 - Successful monitoring - provider in compliance. Continue monitoring Monitoring ceased.
Ralene Lindley RC Roy	Ralene Lindley	Ralene Lindley	9/18/01 - Revocation of RC 10/24/01 - Reinstatement of RC 1/24/02 - Successful completion of settlement agreement.	Violation of statute - Immunizations. Changed policies and contract to indicate children must have immunizations before care. Provider successfully met terms of settlement agreement.	Monitor for closure. 10/24/01 Bureau Conference. 11/16 & 11/30 - Successful monitoring - provider in compliance. 12/20 - Provider in compliance. Continue monitoring. Monitoring ceased.
Orlean Mathews RC Bountiful	Orlean Mathews	Orlean Mathews	10/12/01 - Revocation of RC 11/09/01 - Reinstatement of Residential Certificate	Failure to check immunizations, specifically, failure to provide evidence verified by County Health, of personal exemptions. Provider obtained immunization verifications as required.	Appeal expected but not yet rec'd. Scheduled 11/09/01 Ongoing monitoring Attempts made - provider not at home. Continued monitoring

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Bonnie Jo Walsh RC Oakley	Bonnie Jo Walsh	Bonnie Jo Walsh	10/05/01 - Revocation of RC 10/24/01 - Reinstatement of Residential Certificate 1/14/02 - Successful completion of Settlement Agreement.	(1) Violation of ratios (2) Failure to obtain/check immunizations. Provider reduced #'s and provided policy and verification on immunizations.	Appealed - Bureau Conference on 10/24/01 Ongoing Monitoring 12/4/01 - Monitoring - in compliance. Cease monitoring
Joann Sereika FCG Brigham City	Joann Sereika	Joann Sereika	11/01/01 - Conditional License w/ Civil Money Penalty	(1) Class I violations for failure to provide direct supervision (2) 14 Class 2 deficiencies (3) Failure to correct @ follow-ups.	Appealed - Bureau Conference scheduled for 12/12/01. 1-8-02 - Provider paid CMP of \$100.00 Continued monitoring.
Sarah Zafra RC Bountiful	Sarah Zafra	Sarah Zafra	11/6/01 - Revocation of RC 1/14/02 - Settlement Agreement reached.	Failure to meet statute requirements: (1) CPR & 1 st Aid (2) 5 hrs. training (3) Check immunizations 1/22/02 Verification of correction of outstanding deficiencies and served Settlement Agreement.	No contact from provider at this time though she did indicate to the licenser she wants to appeal decision. Appeal received. Numerous attempts to contact provider to schedule bureau conference have been unsuccessful. Letter sent. Continue monitoring.
Graciela Cortes RC Park City	Graciela Cortes	Graciela Cortes	12/2 - Revocation of RC 12/14/01 - Settlement agreement reached.	Failure to meet statute requirements: (1) Ratios (2) Check immunizations Immunization check completed Reduced #'s & established policy to stay in ratios as required. 1/8/02 - All outstanding deficiencies corrected.	Appealed - Bureau Conference on 12/14/01 Continue monitoring. Continue monitoring.

Name	Owner	Director	Action Taken	Rationale for Action	Next Step
Cindy Blunt RC Ogden	Cindy Blunt	Cindy Blunt	12/11/01 - Revocation of RC 1/7/02 - Settlement Agreement reached w/CMP	Non-supervision of children in care. Provider left children at home alone sleeping while she ran an errand. \$250.00 CMP for failing to provide direct supervision of children in care. (\$150.00 base fine w/ \$50.00 fine per child for the two children left at the facility w/out direct supervision.) Some requirements of Settlement include additional training, correction of outstanding deficiencies & additional CMP if provider is in violation direct supervision requirements again.	Appealed revocation. Bureau Conference scheduled for 1/10/02. Continued monitoring. Continued monitoring.
Jackie Brooks RC Clearfield	Jackie Brooks	Jackie Brooks	1/11/02 - Revocation of RC	Failure to meet statute requirements (3 infants in care)	Appeal expected. 1/18/02 - Appeal received
Mary Jo Olsen FCC Layton	Mary Jo Olsen	Mary Jo Olsen	1/14/02 - Conditional license served w/ CMP	Substantiated abuse by household member on day care child. \$200.00 CMP for actual harm to a child, increased monitoring, correct outstanding deficiencies, further CMP to be assessed if "Direct supervision" or inaccessibility of chemicals not maintained w/other requirements.	Monitoring - not expecting appeal. 1/23/02 - Monitoring visit - no violations observed.
Liz Swallow RC West Haven	Liz Swallow	Liz Swallow	1/14/02 - Revocation of RC 1/18/02 - Settlement agreement reached	Failure to meet statute requirements (immunizations) CMP for any further Class I violations, violations of immunization laws, increased monitoring w/ other stipulations.	Appeal received 1/7/02 Bureau Conference scheduled 1/18/02

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Becky Patane FGC Fruit Heights	Becky Patane	Becky Patane	1/14/02 - Conditional license served 1/17/02 - Settlement agreement conference	Substantiated abuse by household member on day care child \$200.00 CMP issued for actual hard to be reduced to \$100 w/ verification provider is covering costs of counseling for victim, further CMP should other violations occur, increased monitoring & other stipulations.	Appeal expected 1/17/02 - Appeal received

ADVERSE LICENSE ACTIONS – CHILD CARE
January 2002
Central Region

Name	Owner	Director	Action Taken	Rationale for Action	Next Step
Sherry Matin - FCC	Same	Same	Revocation of license – effective September 5, 2001 - APPEALED Conditional issued from August 30, 2001 to November 30, 2001.	Provider had a substantiated CPS complaint against a covered individual in the home.	<ol style="list-style-type: none"> 1. No new enrollments; 2. Owner must notify all parents in writing of closure by Aug 17 that the facility will be closed. 3. Return license to the Department by September 12, 2001. Provider is appealing the substantiation with DHS – Bureau to issue conditional license during appeal process, effective until November 30, 2001. <ol style="list-style-type: none"> 1. Complete appeal process; 2. Verify appeal outcome to Bureau; 3. Increased monitoring. License extended till March, 2002 – pending hearing.

ADVERSE LICENSE ACTIONS

January 28, 2002

Child Care, Southern Region

Name	Owner	Director	Action Taken	Rationale for Action	Next Step
RUCD Early Head Start child care center - Blanding, Utah	RUCD Early Head Start	Melissa Rock	Conditional license issued 11-05-01. Standard license issued 11/28/01.	Conditional license based on failure of the licensee to correct Class II SOF's after the specified time for correction. Correction of the Class II deficiency.	Monitoring will be conducted by the Bureau of Licensing. Facility will be scheduled for an annual survey according to Bureau policy.
Marla Williams Residential Certificate child care - Milford, Utah	Marla Williams	Marla Williams	Revocation of Certificate Order issued effective 12-18-01. Reinstatement of Certificate effective 01/08/02.	Revocation is based on failure to obtain training required by statute within 90 days of initial certification date. A settlement agreement was reached with the licensee to allow additional time to complete the required training.	The provider has 30 days to appeal the revocation order. The Bureau will monitor during the settlement agreement period.
Sherrie Thornton Residential Certificate child care - Parowan, Utah	Sherrie Thornton	Sherrie Thornton	Revocation of Certificate Order issued effective 12-21-01. Reinstatement of Certificate effective 01/08/02.	Revocation order is based on failure of the licensee to obtain training required by statute with 90 days of the initial certification date. A settlement agreement was reached with the licensee to allow additional time to complete the required training.	The provider has 30 days to appeal the revocation order. The Bureau will monitor during the settlement agreement period.